

- Mandatory** - complete cells highlighted in Yellow in **ONE** of Boxes A or B or C.
- if Box A or B is completed, further information can optionally be provided in Box C .
-
- complete cells highlighted in Yellow in Box D and E (*do **not** add more rows to Box E - if necessary, aggregate multiple minor expenditure items and give details in Box 'E Comments'*).
 - complete cells highlighted in Yellow in Box F.

Please refer to full guidance notes at <https://www.ukri.org/files/funding/oa/oa-reporting-guidance-2017-18-pdf/> before completing this form.

Summary information on compliance with RCUK OA policy 1 April 2018-31 March 2019 (to be completed by all ROs)

A

Compliance based on actual publications data	Number
Total publications arising from research council funding	167
Number of gold compliant publications	96
Number of green compliant publications	38
Overall compliance	80%

B

Compliance based on estimates of publication numbers	Percent
Proportion of compliance delivered through the gold route	
Proportion of compliance delivered through the green route	
Estimate of overall compliance	0%

C

Compliance summary - no data
Provide short statement on how implementation of UKRI OA policy is progressing.

Summary information on open access spend

D	OA block grant summary information	OA grant brought forward	OA grant received	OA Grant available	OA grant spent	OA grant carried forward
	Actual Year 1 spend (April 2013 - March 2014)		£ 59,193	£ 59,193	£ 3,550	£ 55,643
	Actual Year 2 spend (April 2014 - March 2015)	£ 55,643	£ 69,639	£ 125,282	£ 59,071	£ 66,212
	Actual Year 3 spend (April 2015 - March 2016)	£ 66,212	£ 79,471	£ 145,683	£ 57,144	£ 88,538
	Actual Year 4 spend (April 2016 - March 2017)	£ 88,538	£ 17,734	£ 106,272	£ 122,528	-£ 16,256
	Actual Year 5 spend (April 2017 - March 2018)	-£ 16,256	£ 95,242	£ 78,986	£ 103,996	-£ 25,010
	Actual Year 6 spend (April 2018 - March 2019)	-£ 25,010	£ 124,468	£ 99,458	£ 94,370	£ 5,088
	Actual Year 7 block grant allocation (April 2019 - March 2020)	£ 5,088	£ 137,043	£ 142,131		
	Estimated Year 7 spend (April 2019 - March 2020)				£ 142,131	£ 0
	Total		£ 582,790		£ 582,790	

E

OA block grant summary of non-publisher spend (1 April 2018 to 31 March 2019)	E	Comments
Total of non-publisher spend	£ 11,462	
OA operations/APC processing	Amount	
Staff costs		
Non-staff costs	£ 11,462	
Total OA operations/APC processing	£ 11,462	
Sum of 'Other - details' below	£ -	
Other OA costs	Amount	
Other - details-1		
Other - details-2		
Other - details-3		
Other - details-4		
Other - details-5		
Other - details-6		
Other - details-7		